

Substitute for Form 1449 A & B/PRO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Application Number	09/612,534
Confirmation Number	9366
Filing Date	July 07, 2000
First Named Inventor	Lynh NGUYEN
Art Unit	2143
Examiner Name	Bunjob JAROENCHONWANIT
Attorney Docket Number	A8646

Complete if Known

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document N Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	7	US 6,601,086	B1	07-2003	Howard et al.
		US 6,574,667	B1	06-2003	Blumenau et al.
		US 6,535,518	Bī	03-2003	Hu et al.
		US 6,381,606	Bl	04-2002	Carpenter et al.
		US 5,960,424	A	09-1999	Sadiq, Waqar
		US 6,378,005	Bl	04-2002	Hyder et al.
	/	US 6,339,771	Bl	01-2002	Zimowski et al.
	$\sqrt{1}$	US 6,115,387	A	09-2000	Egbert et al.
7	NI	US 6,101,542	Α	08-2000	Miyamoto et al.
-//	TIZ	US 5,862,338	Α	01-1999	Walker et al.
AVA	या	US 5,603,064	Α	02-1997	Bennett, Dwayne R.
4		US 5,592,472	A	01-1997	Grant et al.
V		US 5,388,243	A	02-1995	Glider et al.
		US 2002/0023158	Ì	02-2002	Polizzi et al.
		US 5,499,367	A	03-1996	Bamford et al.
		US 6,798,753	Bl	09-2004	Doganata et al.
		US 6,757,868	B1	06-2004	Glaser et al.
		US 6,425,049	B1.	07-2002	Yamamoto et al.
		US 6,101,533	Α	08-2000	Brandt et al.
		US 6,424,358	B1	07-2002	DiDomizio et al.
1		US 6,446,109	B2	09-2002	Gupta, Abhay K.
		US 6,591,295	Bi	07-2003	Diamond et al.
		US 5,758,351	Al	05-26-1998	Gibson et al.
. 	$\overline{}$	US 5,023,873	A2	06-11-1991	Stevenson et al.

	FOREIGN PATENT DOCUMENTS				
NON PATENT LITERATURE DOCUMENTS					
Cite No. ¹	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
	Lynh Nguyen; "Live-Connection System for Net.Data"; Net.Data @IBM Software; pp.1				
	"Net.Data", IBM Net.Data; More Information pp.1				
	"IBM Net.Data"; IBM Security: Secure Way Product: Net.Data; pp. 1				
	"Net.Data"; IBM Software: Database and Data Maroduct Family: Net data: Overview; pp.1				
	"Net.Data™ Version 3 Deploying Dynamic Data to the Web"; IBM Net.Data Brochure: Deploying				
	Dynamic Data to the Web; pp. 1-4	l			
\mathcal{C}_{I}	"S/390 Division", Enterprise Server Essentials; p.1-19				
$\nabla \Gamma \mathcal{F}$	"DB2 DataJoiner"; IBM DB2 DataJoiner Product News; pp.1				
70	"DB2 Product Family"; IBM Software: Database and Data Maent: DB2 Product Family: Overview; pp.1-3				
	"Question and Answer"; DB2 Server for VSE & VM - tune queries after migration; pp. 1-2.				
	"Celebrating IBM Data Management White Paper September 1998"; IBM Data Management White Papers				
	- Celebrating IBM Data Management; pp. 1-17				
	"Data Management White Papers"; Data Management White Pages; pp. 1-10				
		NON PATENT LITERATURE DOCUMENTS Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. Lynh Nguyen; "Live-Connection System for Net.Data"; Net.Data @IBM Software; pp. 1 "Net.Data", IBM Net.Data; More Information pp. 1 "IBM Net.Data"; IBM Security: Secure Way Product: Net.Data; pp. 1 "Net.Data"; IBM Software: Database and Data Maroduct Farnily: Net data: Overview; pp. 1 "Net.DataTM Version 3 Deploying Dynamic Data to the Web"; IBM Net.Data Brochure: Deploying Dynamic Data to the Web; pp. 1-4 "S/390 Division", Enterprise Server Essentials; p.1-19 "DB2 DataJoiner"; IBM DB2 DataJoiner Product News; pp. 1 "DB2 Product Family"; IBM Software: Database and Data Maent: DB2 Product Family: Overview; pp.1-3 "Question and Answer"; DB2 Server for VSE & VM - tune queries after migration; pp. 1-2. "Celebrating IBM Data Management White Paper September 1998"; IBM Data Management White Papers - Celebrating IBM Data Management; pp. 1-17			

Examiner Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformatic with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.



Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSSIFE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 of 3

Сотр	olete if Known
Application Number	09/612,534
Confirmation Number	9366
Filing Date	July 07, 2000
First Named Inventor	Lynh NGUYEN
Art Unit	2143
Examiner Name	Bunjob JAROENCHONWANIT
Attorney Docket Number	A8646

			U.S.	PATENT DOCU	MENTS	
Examiner	Cite	Document 1	Document Number Publication Date			
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
	7	US 5,890,158		03-1999	House et al.	
		US 5,903,786		05-1999	Goto, Tetsuro	
		US 6,026,413		02-2000	Challenger et al.	
		US 6,014,344		01-2000	Baker, III, Robert Allison	_
		US 6,016,698		01-2000	Kasanami et al.	
		US 6,076,092		06-2000	Goldberg et al.	
		US 6,088,796		07-2000	Cianfrocca et al.	
		US 6,094,649		07-2000	Bowen et al.	
		US 6,105,057		08-2000	Kuftedjian et al	•
	$\overline{\wedge}$	US 6,112,196		08-2000	Zimowski et al.	
70	TXX	US 6,175,842		01-2001	Kirk et al.	
- 1		US 6,178,433		01-2001	Nakamura et al.	
		US 6,195,703		02-2001	Blumenau et al.	
		US 6,212,520		04-2001	Maruyama et al.	
		US 6,247,055		06-2001	Cotner et al.	
		US 6,253,199	1	06-2001	Wakio et al.	
		US 6,263,330		07-2001	Bessette, Luc	
		US 6,285,997		09-2001	Carey et al.	
		US 6,393,477		05-2002	Paxhia et al.	
		US 6,411,623		06-2002	DeGollado et al.	
		US 6,415,290		07-2002	Botts et al.	
		US 6,456,308	· -····-	09-2002	Agranat et al.	
		US 6,523,032		02-2003	Sunkara et al.	
		US 6,526,413		02-2003	Schwitters et al.	·
		US 6,567,849		05-2003	Ludovici et al.	
		US 6,591,272		07-2003	Williams, Mark	
		000,001,272		07-2003	Williams, Wark	
				T LITERATURI		
Examiner Initials*	Cite No.	Include name of the au journal, serial, symposiu	thor (in CAPITAL L m, catalog, etc.), date	ETTERS), title of the ar	ticle (when appropriate), title of the item (book, magazine, number(s), publisher, city, and/or country where published.	Translation ⁶
	1	"Introduction to Data	bases for the We	b": Introduction to I	Databases for the Web/Hierarcical Databases; pp.	
	(1-3		. ,	pulses tot alle week the allered backs so, pp.	
			bases for the We	b": Introduction to I	Databases for the Web/Network Databases; pp. 1-	
	1	2	·= ··· · ·	- ,		
	1	"Conditional Selection	n"; Learn SQL -	Conditional Selection	on; pp. 1-2.	<u> </u>
	7/	"Basics of the Select	Statement"; Lear	n SQL - Basics of th	ne Select Statement; pp. 1-2	
7	YJ	"The DB2 Family Ar	Analysis of the	Business Benefits A	vailable"; DB2 For Business; Rob Hailstone;	1
1.8	$\Delta \Gamma$	White Paper Number	98.01; pp. 1-40.		,	
	VI	"Application Product	ivity Tools"; DB	2 Notes (From Whit	e Paper); Susan Gausden; pp. 1-4.	
		"Application Product	ivity Tools"; DB	2 notes (from white	paper); Susan Gausden; pp. 1-46	
	7					1
						•
			/ /	$\gamma_{\lambda} + 1$	7 8/51/	
Examiner Si	gnature	() ()			Date Considered \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	<i>V</i> 3

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.



Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

3 of 3

Complete if Known				
Application Number	09/612,534			
Confirmation Number	9366			
Filing Date	July 07, 2000			
First Named Inventor	Lynh NGUYEN			
Art Unit	2143			
Examiner Name	Bunjob JAROENCHONWANIT			
Attorney Docket Number	A8646			

Evam!	Cita	Document No		Publication Date		
Examiner Initials*	Cite No. ¹	Number	Kind Code ¹ (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 6,618,727		09-2003	Wheeler et al.	
		US 6,681,229		01-2004	Cason et al.	
		US 6,697,073		02-2004	Kadota, Masatoshi	
		US 6,701,352		03-2004	Gardner et al.	
		US 6,714,979		03-2004	Brandt et al.	
		US 6,717,913		04-2004	Ghahremani et al.	
		US 6,725,227		04-2004	Li, Wen-Syan	
	$\overline{}$	US 6,738,817	Ī	05-2004	Chen et al.	
	\sqrt{I}	US 6,742,004		05-2004	Sabatini et al.	
1	717	US 6,742,036		05-2004	Das et al.	•
		US 6,772,167		08-2004	Snavely et al.	
		US 6,779,030		08-2004	Dugan et al.	
		US 2001/0034733		10-2001	Prompt et al.	
		US 2001/0034734	1	10-2001	Whitley et al.	
		US 2001/0047417	i	11-2001	Harmann et al.	
		US 2002/0004796		01-2002	Vange et al.	
		US 2002/0026446	1	02-2002	Groos et al.	
		US 2002/0104018		08-2002	Singhani et al.	
		US 2002/0144157	1	10-2002	Zhao et al.	
		US 2002/0145622	1	10-2002	Kauffman et al.	
		US 2003/0200226	1	10-2003	Wells et al.	
		US 2003/0233357		12-2003	Merenda et al.	
		US 5,475,601		12-1995	Hwang, David K.	
	1	US 5,561,763		10-1996	Eto et al.	
		US 5,765,154		06-1998	Horikiri et al.	
	. (US 5,930,786	Α	07-1999	Carino et al.	
						•
				T LITERATURE		
xaminer nitials*	Cite No.1	journal, serial, symposium	n, catalog, etc.), date	e, page(s), volume-issue	ticle (when appropriate), title of the item (book, magazine, number(s), publisher, city, and/or country where published.	Translation
					- Interconnecting Web Applications with DB2	Į
				s", Technical Suppo	ort, December 1998, Technical Enterprises, Inc.	İ
	Δ^{X}	WWW.nasp.net, 4 pag				
\mathcal{D}	J/A	Engineering Dept. Sta	ndford Universi	y, IEEE, 1995, Pag		
)V(Mukherjee, "Automati to I-313	ing Forms Publi	shing with the Intell	gent Filling Manager", IEEE, 1999, Pages 1-308	
		\wedge				
		011		\sim		1.
Examiner Si	gnature	3			Date Considered 8/31/	25
						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.